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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

First Inventor

KATZ, ROBERT A.

Title

PROCESS FOR ENHANCING THE EXISTING  
AMBIENCE, IMAGING, DEPTH, CLARITY &

Express Mail Label No.

SPACIOUSNESS OF SOUND  
RECORDINGS**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 37 ]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [ Total Sheets 4 ]
5. Oath or Declaration [ Total Pages      ]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
- b. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Other: .....

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_ / \_\_\_\_\_

Prior application information

Examiner \_\_\_\_\_

Group / Art Unit. \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under  
Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.  
The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.**18. CORRESPONDENCE ADDRESS**☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

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Registration No. (Attorney/Agent)

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Robert A. Katz

Date 6/8/01

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the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC  
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Washington, DC 20231.

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 449.00

## Complete if Known

Application Number

Filing Date

First Named Inventor KATZ, ROBERT A.

Examiner Name

Group Art Unit

Attorney Docket No.

## METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

Deposit  
Account  
Name

☐ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |                        |
|-----|-----|-----|-----|------------------------|
| 101 | 690 | 201 | 345 | Utility filing fee     |
| 106 | 310 | 206 | 155 | Design filing fee      |
| 107 | 480 | 207 | 240 | Plant filing fee       |
| 108 | 690 | 208 | 345 | Reissue filing fee     |
| 114 | 150 | 214 | 75  | Provisional filing fee |

Fee Paid

355.

SUBTOTAL (1) (\$ 355.00

### 2. EXTRA CLAIM FEES

|                    |    |         |   |   |    |   |    |
|--------------------|----|---------|---|---|----|---|----|
| Total Claims       | 26 | -20** = | 6 | x | 9  | = | 54 |
| Independent Claims | 4  | -3** =  | 1 | x | 40 | = | 40 |
| Multiple Dependent |    |         |   |   |    |   |    |

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |     |     |     |   |
|-----|-----|-----|-----|---|
| 103 | 18  | 203 | 9   | Claims in excess of 20  |
| 102 | 78  | 202 | 39  | Independent claims in excess of 3                             |
| 104 | 260 | 204 | 130 | Multiple dependent claim, if not paid                         |
| 109 | 78  | 209 | 39  | ** Reissue independent claims<br>over original patent         |
| 110 | 18  | 210 | 9   | ** Reissue claims in excess of 20<br>and over original patent |

SUBTOTAL (2) (\$ 94.00

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Fee Fee Fee  
Code (\$) Code (\$) Fee Description

|     |        |     |        |   |
|-----|--------|-----|--------|---|
| 105 | 130    | 205 | 65     | Surcharge - late filing fee or oath   |
| 127 | 50     | 227 | 25     | Surcharge - late provisional filing fee or<br>cover sheet                     |
| 139 | 130    | 139 | 130    | Non-English specification   |
| 147 | 2,520  | 147 | 2,520  | For filing a request for <i>ex parte</i> reexamination                        |
| 112 | 920*   | 112 | 920*   | Requesting publication of SIR prior to<br>Examiner action                     |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after<br>Examiner action                        |
| 115 | 110    | 215 | 55     | Extension for reply within first month  |
| 116 | 380    | 216 | 190    | Extension for reply within second month                                       |
| 117 | 870    | 217 | 435    | Extension for reply within third month  |
| 118 | 1,360  | 218 | 680    | Extension for reply within fourth month                                       |
| 128 | 1,850  | 228 | 925    | Extension for reply within fifth month  |
| 119 | 300    | 219 | 150    | Notice of Appeal  |
| 120 | 300    | 220 | 150    | Filing a brief in support of an appeal  |
| 121 | 260    | 221 | 130    | Request for oral hearing  |
| 138 | 1,510  | 138 | 1,510  | Petition to institute a public use proceeding                                 |
| 140 | 110    | 240 | 55     | Petition to revive - unavoidable  |
| 141 | 1,210  | 241 | 605    | Petition to revive - unintentional  |
| 142 | 1,210  | 242 | 605    | Utility issue fee (or reissue)  |
| 143 | 430    | 243 | 215    | Design issue fee  |
| 144 | 580    | 244 | 290    | Plant issue fee   |
| 122 | 130    | 122 | 130    | Petitions to the Commissioner   |
| 123 | 50     | 123 | 50     | Petitions related to provisional applications                                 |
| 126 | 240    | 126 | 240    | Submission of Information Disclosure Stmt                                     |
| 581 | 40     | 581 | 40     | Recording each patent assignment per<br>property (times number of properties) |
| 146 | 690    | 246 | 345    | Filing a submission after final rejection<br>(37 CFR § 1.129(a))              |
| 149 | 690    | 249 | 345    | For each additional invention to be<br>examined (37 CFR § 1.129(b))           |
| 179 | 690    | 279 | 345    | Request for Continued Examination (RCE)                                       |
| 169 | 900    | 169 | 900    | Request for expedited examination<br>of a design application                  |

Fee Paid

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

## SUBMITTED BY

Name (Print/Type)

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Signature

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Complete (if applicable)

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Date

6/8/01

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